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Attorney Docket No. 243132000105 **484340** 

## **MORRISON & FOERSTER**

755 Page Mill Road Palo Alto, California 94304-1018

REQUEST FOR FILING CONTINUATION/DIVISIONAL APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

"Express Mail" Mailing Label No. TB790418871US Date of Deposit: June 7, 1995
I hereby certify that this paper or fee is being deposited with the United States Postal Service
"Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Karen Bellinger

(Typed or Printed Name of Person Mailing Paper or Fee)

(Signature of Person Mailing Paper or Fee)

This is a Request for filing a continuation application under 37 CFR 1.60 of prior application Serial No. 08/361,176, filed on December 21, 1994, of Lloyd M. Smith, Leroy E. Hood, Michael W. Hunkapiller, Tim J. Hunkapiller, Charles R. Connell for AUTOMATED DNA SEQUENCING TECHNIQUE

- 1. X Enclosed is a copy of the latest inventor signed prior application, including the oath or declaration as originally filed. I hereby verify that the attached papers are a true copy of the latest inventor signed prior application serial no. 07/106,232 as originally filed on October 7, 1987, and further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.
- 2. \_ verified statement(s) of small entity status \_ enclosed \_ filed in prior application serial no. \_, filed on \_ (copy enclosed).
- 3.  $\underline{X}$  The filing fee is calculated below:

| <b>B</b> . 7 | Basic Application Fee  Fotal Claims 43 minus 20 = 23 x  Independent | \$ 22.00  |   | \$ <u>730.00</u><br>\$ <del>484.00</del><br>\$ <b>6.</b> 00 |
|--------------|---|-----------|---|---|
|              | Claims $\mathcal{S}$ +minus $3 = 1$ x                               | \$ 76.00  |   | \$ 76.00  |
| <b>D</b> . 1 | If multiple dependent claims present, add                           | \$ 240.00 |   | \$ 152.00   |
| <b>E</b> . 7 | Total Application Fee (Total of A, B, C & D                         | )         | = | \$1 <del>290.00</del>                                       |
| F. I         | If verified statement of small entity status is e                   | nclosed,  |   | 138600  |
| f            | fifty percent reduction of Total Application F                      | ee        |   |   |
| (            | (50% x E)   |           | = | \$  |
| G.           | Application Fee Due (E minus F)                                     |           | = | \$1290.00   |

- The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952. A duplicate copy of this sheet is enclosed.
- 5. A check in the amount of \$\_ is enclosed.
- 6. X Cancel in this application original claims 2-30 of the prior application before calculating the filing fee.

  (At least one original independent claim must be retained for filing purposes.)
- 7. X Amend the specification by inserting before the first line the sentence: This application is a continuation of application serial no. 08/361,176 filed 12/21/94, which is a continuation of application serial no. 07/898,019, filed 6/12/92, now abandoned, which is a continuation of application serial no. 07/660,160, filed 2/21/91, now abandoned, which is a continuation of application serial no. 07/106,232, filed 10/7/87, now abandoned, which is a CIP of application serial no. 06/722,742, filed 4/11/85, now abandoned, which is CIP of application serial no. 06/689,013,filed 1/2/85, now abandoned, which is a CIP of application serial no. 06/689,013,filed 1/16/84, now abandoned.
- 8. Transfer the drawings from the pending prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May only be used if signed by a person authorized by §1.138 and before payment of issue fee.)
  - a. New formal drawings are enclosed.
  - b. \_ Priority of application serial no. \_, filed on \_ in \_ is claimed under 35 U.S.C. §119.
    \_ The certified copy of the priority application \_ is enclosed \_ has been filed in prior application serial no. \_, filed on \_

has not yet been filed.

- 9. X The prior application is assigned of record to CALIFORNIA INSTITUTE OF TECHNOLOGY
- 10.  $\underline{X}$  A preliminary amendment is enclosed.
- 11. X Also enclosed Postcard
- 12. X The following attorneys and agents who are responsible for this application are included in the power of attorney in the prior application: Thomas E. Ciotti, Reg. No. 21,013; Kate H. Murashige, Reg. No. 29,959; Gladys H. Monroy, Reg. No. 32,430; Debra A. Shetka, Reg. No. 33,309; Paul F. Schenck, Reg. No. 27,253; Nancy Joyce Gracey, Reg. No. 28,216; E. Thomas Wheelock, Reg. No. 28,825; Freddie K. Park, Reg. No. 35,636; Susan K. Lehnhardt, Reg. No. 33,943; Paul C. Kimball, Reg. No. 34,641; James R. Shay, Reg. No. 32,062; Patricia M. Drost, Reg. No. 29,790; Jackie N. Nakamura, Reg. No. 35,966; Shmuel Livnat, Reg. No. 33,949; Cecily Anne Snyder, Reg. No. 37,448; Tyler Dylan, Reg. No. 37,612; Edward G. Durney, Reg. No. 37,611; Reid G. Adler, Reg. No. 30,988; Gary A. Green, Reg. No. 38,474; Antoinette F. Konski, Reg. No. 34,202; Harry J. Macey, Reg. No. 32,818; Stuart P. Kaler, Reg. No. 35,913.
  - a. The power appears in the original papers in the prior application.
  - b. X Since the power does not appear in the original papers, a copy of the power in the prior application, serial no. 08/361,176, and a copy of the associate power in serial no. 07/898,019 are enclosed.
  - c. X Recognize as Associate Attorney <u>David L. Bradfute, Reg. No. 39,117</u>, and <u>Robert A. Saltzberg, Reg. No. 36,910</u>.

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d.  $\underline{X}$  Address all future communications (may only be completed by applicant, or attorney or agent of record):

X Antoinette F. Konski

MORRISON & FOERSTER

755 Page Mill Road

Palo Alto, CA 94304-1018

(415) 813-5600

6/7/95°

Antoinette F. Konski
Reg. No. 34,202

Address of signator:

\_ inventor(s)

\_ assignee of complete interest X attorney or agent of record

\_ filed under §1.34(a)

## REQUEST FORM FOR FILE WRAPPER CONTINUING APPLICATION UNDER 37 CFR 1.62

DOCKET NUMBER 243132000104

ANTICIPATED CLASSIFICATION:
CLASS SUBCLASS

PRIOR APPLICATION: EXAMINER M. Fleisher

ART UNIT

748434

ommissioner of Patents and Trademarks Sox FWC
Washington, D.C. 20231

DOCKETED

X "Express Mail" Mailing Label No. <u>TB790483628US</u> Date of Deposit: <u>December 21, 1994</u>
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Michael Moores
(Typed or Printed Name of Person Mailing Paper or Fee)

(Signature of Person Mailing Paper or Fee)

This is a Request for filing a continuation application under 37 CFR 1.62 of prior application Serial No. 07/898,019, filed on June 12, 1992 entitled AUTOMATED DNA SEQUENCING TECHNIQUE by the following inventor(s).

|                         |                     | · · · · · · · · · · · · · · · · · · · |                          |
|-------------------------|---------------------|---------------------------------------|--------------------------|
| FULL NAME               | FAMILY NAME         | FIRST GIVEN NAME                      | SECOND GIVEN NAME        |
| OF INVENTOR             | Smith               | Lloyd                                 | M.                       |
| RESIDENCE & CITIZENSHIP | CITY                | STATE OR FOREIGN COUNTRY              | COUNTRY OF CITIZENSHIP   |
|                         | Madison             | Wisconsin                             | USA                      |
| POST OFFICE             | POST OFFICE ADDRESS | CITY                                  | STATE & ZIP CODE/COUNTRY |
| ADDRESS                 | 1115 Amherst Drive  | Madison                               | WI 53705                 |
| FULL NAME               | FAMILY NAME         | FIRST GIVEN NAME                      | SECOND GIVEN NAME        |
| OF INVENTOR             | Hood                | Leroy                                 | E.                       |
| RESIDENCE & CITIZENSHIP | CITY                | STATE OR FOREIGN COUNTRY              | COUNTRY OF CITIZENSHIP   |
|                         | Pasadena            | California                            | USA                      |
| POST OFFICE             | POST OFFICE ADDRESS | CITY                                  | STATE & ZIP CODE/COUNTRY |
| ADDRESS                 | 1453 E. California  | Pasadena                              | CA 91009                 |

x Please see attached continuation page for additional inventors

The above identified prior application in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application. (NOTE: 37 CFR 1.60 may be used for applications where the prior application is not to be abandoned.)

| 1 Enter the amendment previously | filed on | under 37 | CFR | 1.116 | but | unentered, | in the | prior |
|----------------------------------|----------|----------|-----|-------|-----|------------|--------|-------|
| application.                     | •        |          | -   |       |     |            |        |       |

2. A preliminary amendment is enclosed.



| FULL NAME OF INVENTOR       | FAMILY NAME<br>Hunkapiller                 | FIRST GIVEN NAME Michael               | SECOND GIVEN NAME<br>W.              |
|-----------------------------|--|--|--------------------------------------|
| RESIDENCE & CITIZENSHIP     | CITY<br>San Carlos                         | STATE OR FOREIGN COUNTRY<br>California | COUNTRY OF CITIZENSHIP<br>USA        |
| POST OFFICE<br>ADDRESS      | POST OFFICE ADDRESS<br>1333 Pebble Drive   | CITY<br>San Carlos                     | STATE & ZIP CODE/COUNTRY<br>CA 94070 |
| FULL NAME<br>OF INVENTOR    | FAMILY NAME<br>Hunkapiller                 | FIRST GIVEN NAME<br>Tim                | SECOND GIVEN NAME<br>J.              |
| RESIDENCE & CITIZENSHIP     | CITY<br>Pasadena                           | STATE OR FOREIGN COUNTRY California    | COUNTRY OF CITIZENSHIP<br>USA        |
| POST OFFICE<br>ADDRESS      | POST OFFICE ADDRESS<br>294 South Wilson #5 | CITY<br>Pasadena                       | STATE & ZIP CODE/COUNTRY<br>CA 91106 |
| FULL NAME<br>OF<br>INVENTOR | FAMILY NAME Connell                        | FIRST GIVEN NAME<br>Charles            | SECOND GIVEN NAME<br>R.              |
| RESIDENCE & CITIZENSHIP     | CITY<br>Redwood City                       | STATE OR FOREIGN COUNTRY<br>California | COUNTRY OF CITIZENSHIP USA           |
| POST OFFICE<br>ADDRESS      | POST OFFICE ADDRESS<br>167 King Street     | CITY<br>Redwood City                   | STATE & ZIP CODE/COUNTRY<br>CA 94062 |
| FULL NAME<br>OF<br>INVENTOR | FAMILY NAME                                | FIRST GIVEN NAME                       | SECOND GIVEN NAME                    |
| RESIDENCE & CITIZENSHIP     | СІТУ                                       | STATE OR FOREIGN COUNTRY               | COUNTRY OF CITIZENSHIP               |
| POST OFFICE<br>ADDRESS      | POST OFFICE ADDRESS                        | CITY                                   | STATE & ZIP CODE/COUNTRY             |
| FULL NAME<br>OF<br>INVENTOR | FAMILY NAME                                | FIRST GIVEN NAME                       | SECOND GIVEN NAME                    |
| RESIDENCE & CITIZENSHIP     | CITY                                       | STATE OR FOREIGN COUNTRY               | COUNTRY OF CITIZENSHIP               |
| POST OFFICE<br>ADDRESS      | POST OFFICE ADDRESS                        | CITY                                   | STATE & ZIP CODE/COUNTRY             |
| FULL NAME<br>OF<br>INVENTOR | FAMILY NAME                                | FIRST GIVEN NAME                       | SECOND GIVEN NAME                    |
| RESIDENCE & CITIZENSHIP     | CITY                                       | STATE OR FOREIGN COUNTRY               | COUNTRY OF CITIZENSHIP               |
| POST OFFICE<br>ADDRESS      | POST OFFICE ADDRESS                        | СІТУ                                   | STATE & ZER CODE/COUNTRY             |
|                             |  |  |                                      |

The filing fee is calculated on the basis of the claims existing in the prior application as amended at 1 and 2 above.

| (1) FOR   | (2) NUMBER FILED              | (3) NUMBER EXTRA | (4) RATE  | (5) CALCULATIONS |
|---|-------------------------------|------------------|-----------|------------------|
| TOTAL CLAIMS  | 22 - 20 =                     | 2                | x \$22.00 | \$44.00          |
| INDEPENDENT CLAIMS  | 11 - 3 =                      | 8                | x \$76.00 | \$608.00         |
| MULTIPLE DEPENDENT C  | LAIM(S)(if applicable)        |                  | \$240.00  | r                |
|   |                               |                  | BASIC FEE | \$730.00         |
|   | TOTAL OF ABOVE CALCULATIONS = |                  |           | \$1382.00        |
| Reduction by 1/2 for filing by sma<br>If applicable, verified statement m |                               | 27, 1.28).       |           |                  |
|   |                               |                  | TOTAL =   | \$1382.00        |

- 3. X The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, or credit any overpayment to Deposit Account No. 03-1952.
- 4.  $\underline{X}$  A check in the amount of \$1382.00 is enclosed.
- 5. A new oath or declaration is included since this application is a continuation-in-part which discloses and claims additional matter.
- 6.  $\underline{X}$  Amend the specification by inserting before the first line in the sentence:

This application is a continuation of application Serial No. 07/898,019, filed June 12, 1992, which is a continuation of application Serial No. 07/660,160, filed February 21, 1991.

- 7. \_ verified statement(s) claiming small entity status (is)(are) enclosed. (Necessary even if a statement was filed in the prior application.)
- 8. Priority of application Serial No. \_\_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.
- 9. X The prior application is assigned of record to California Institute of Technology.
- 10. X Also enclosed Postcard.
- 11. X The power of attorney in the prior application is to Thomas E. Ciotti, 21,013; Patricia M. Drost, 29,790; Tyler Dylan, 37,612; Nancy Joyce Gracey, 28,216; Bill Kennedy, 33,407; Paul C. Kimball, 34,641; Susan K. Lehnhardt, 33,943; Shmuel Livnat, 33,949; Gladys H. Monroy, 32,430; Kate H. Murashige, 29,959; Jackie N. Nakamura, 35,966; Freddie K. Park, 35,636; Paul F. Schenck, 27,253; James R. Shay, 32,062; Debra A. Shetka, 33,309; Cecily Anne Snyder, 37,448; E. Thomas Wheelock, 28,825; and Joseph E. Mueth, 20,532.
  - a. \_ The power appears in the original papers in the prior application.
  - b.  $\underline{X}$  Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
  - c. X Recognize as Associate Attorney Reid G. Adler, 30,988; Edward G. Durney, 37,611;
    Gary A. Green, P-38,474; Stuart Kaler, 35,913; Antoinette F. Korski, 34,202 and Marry

    J. Macey, 32,818;

- d. X Address all future communications: (May only be completed by applicant, or attorney or agent of record) to:
  - X MORRISON & FOERSTER 755 Page Mill Road Palo Alto, CA 94304-1018

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to anyone of the applications in the file wrapper of a 37 CFR 1.62 application, be it either this application or a prior application in the same file wapper, the Patent and Trademark Office may provide similar information or access to all other applications in the same file wrapper.

December 21, 1994

Bill Kennedy

Registration No. 33,407

Address of signator: \_ inventor(s)

\_ filed under §1.34(a)

assignee of complete interest

X attorney or agent of record

